

Francesco Lin :

## Morse Floer homology & theta characteristics

Topology      geometry

Floer homology : topological invariants of 3-manifolds obtained by counting solutions to certain special non-linear PDEs (Yang-Mills, Seiberg-Witten, pseudo holomorphic curves)

→ very powerful!

Kronheimer

combinatorial

Krauth - Mrowka 08

Khovanov homology detects the unknot

Manolescu 113

The triangulation conjecture is false

in dim  $\geq 5$

(~~most~~ top. manifolds  $\not\cong$  simplicial complex)

Drawback: very hard to compute / understand!

General theme of Thurston

One can study 3-manifolds using tools from geometry

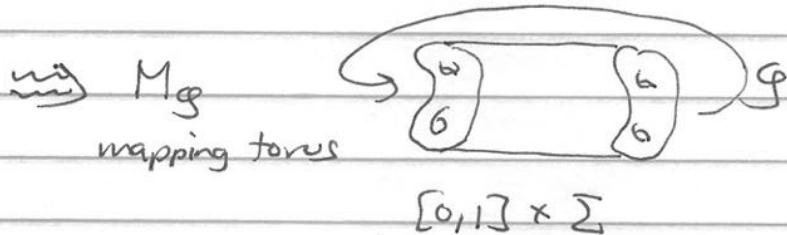
Riemannian

Q: Is there any relation between the geometry of  $Y$  and its Floer homology?

RK: "most" 3-manifolds are hyperbolic  $Y = \mathbb{H}^3/\Gamma$   
(hyperbolic int's are top invariants)

let  $g \in MCG(\Sigma)$ ,  $g \geq 2$

$\hookrightarrow$  mapping class group =  $Diff^+/\text{isotopy}$



Thm (Thurston)  $g$  is either:

- today → 1) finite order  $\rightsquigarrow Mg$  is modeled on  $H^2 \times \mathbb{R}$
  - 2) reducible  $\rightsquigarrow$  toroidal ~~decompose~~
  - 3) Pseudo-Anosov  $\rightsquigarrow Mg$  is hyperbolic ~~ergodic base~~
- generic case

Rk: if  $g$  has finite order,  $\Sigma_g$   
then  $\exists$  Riemann surface order on  $\Sigma$ , s.t.  $g \in \Sigma$  (acts)  
as automorphism

Q: is there a relation between Floer homology  
and the complex geometry of  $(\Sigma, g)$ ?

Thm (L): yes when  $\Sigma/g = P^1$  ( $\Sigma$  is Riemann surface of  $w^d = p(z)$ )  
 $\hookrightarrow b_1(Mg) = 1$   $(z, w) \mapsto (z, fw)$   
 $f$  d'th root of unity

Objects of interest in (geometry side):  
theta characteristics

$K \rightarrow \Sigma$  canonical line bundle

theta characteristic  $L$  is holomorphic line bundle s.t.  $L^{\otimes 2} \cong K$

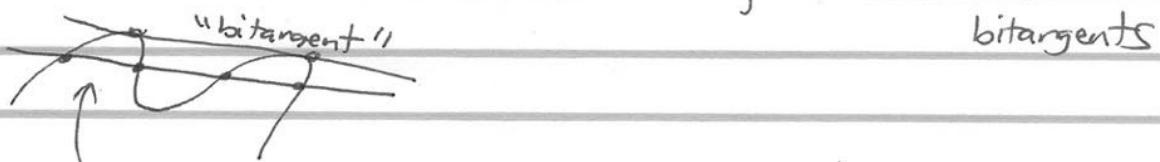
$\Rightarrow$  there exactly  $2^{2g}$  of them

$\hookrightarrow H^0(L)$  space of holomorphic sections of  $L$  (finite dim'l)

Interesting for classical problems in the geometry of algebraic curves.

Thm (Jacobi ~1850s)

$\Sigma \subset \mathbb{CP}^2$  smooth of degree 4, then  $\exists$  exactly 28



line  $\cap \Sigma = 4$  points by Bezout

$$g(\Sigma) = 3 = \frac{(4-1)(4-2)}{2} \Leftrightarrow 2^6 = 64 \text{ theta char}$$

28 of these have  $\dim H^0(L) = 1$   
by the 28  
bitangents

36 have  $\dim H^0(L) = 0$

In gen'l,  $\dim H^0(L)$  depends on the Riemann surface structure!  
in a subtle way

(Mumford)  $\dim H^0(L) \bmod 2$  does not!

$\hookrightarrow$  odd/even

i.e. when  
deform  
along  
family

$\varphi \circ \Sigma$  automorphism  $\Rightarrow \varphi \circ \{\text{theta char.}\}$

$\Rightarrow$  (Serre)  $\exists$  fixed points, say  $\varphi$  fixes  $L$

$\xrightarrow{\exists \text{ lift}}$   $\tilde{\varphi}: L^\times \rightsquigarrow \tilde{\varphi}^*: H^0(L)^\times$  finite order

$\rightsquigarrow \text{Spec } (\tilde{\varphi}^*) \subset S^1$  (with multiplicities)  
spectrum (eigenvalues)

On Floer homology:

L  $\mathfrak{g}$  invariant  $\rightsquigarrow$  spin<sup>c</sup> structure  $S_L$  on  $M_L$

$\rightsquigarrow HM(M_{\mathfrak{g}}, S_L) \rightarrow$  f.g.  $\mathbb{R}[U]$ -module  
 $\hookrightarrow$  monopole Floer homology

$\rightsquigarrow$  topological invariant!

Thm:  $\mathfrak{g} \supseteq \Sigma$ ,  $\Sigma_{\mathfrak{g}} = \mathbb{P}^1$ , L invariant theta char.

Then

$\underbrace{\text{Spec } (\tilde{\mathfrak{g}}^*)}_{\text{geometric}}$  completely determines  $\underbrace{HM(M_{\mathfrak{g}}, S_L)}_{\text{topological}}$

For example

1)  $\dim_{\mathbb{R}} HM(M_{\mathfrak{g}}, S_L) = \dim_{\mathbb{C}} H^0(L)$

2) if  $HM(M_{\mathfrak{g}}, S_L)$  is cyclic  $\Rightarrow \pm \text{Spec}(\tilde{\mathfrak{g}}^*) \subseteq$  upper half plane  
 (can use, e.g., surgery to compute)

Atiyah's proof (70s)

of Mumford's Thm

$\{\text{theta char}\} \xleftrightarrow{[1]} \{\text{spin structures on } \Sigma\}$

$$\begin{array}{ccc} & \downarrow & \downarrow \\ \dim H^0(L) & \xrightarrow[\in N]{\text{mod } 2} & \text{Arf}(\Sigma, s) \in \mathbb{Z}_2 \end{array}$$

$\rightsquigarrow$  using  $\bar{\partial}$  = Dirac op in  $\dim 2$

$\hookrightarrow$  key protagonist  
 Seiberg-Witten eqns.